

# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/814,555

03/30/2004

Matthew D. Linnik

252312008000

25226 MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO, CA 94304-1018



08-27-04

**CONFIRMATION NO. 7418 FORMALITIES LETTER** \*OC000000012981226\*

Date Mailed: 06/17/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### **Items** Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

■ For Rules Interpretation, call (703) 308-4216

- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
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# **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/814,555
Filing Date	March 30, 2004
First Named Inventor	Matthew D. LINNIK
Art Unit	1645
Examiner Name	Not Yet Assigned
Attorney Docket Number	252312008000

ENCLOSURES (Check all that apply)							
X Fee Transn duplicate)	nittal Form (1 page in	Drawing(s)	After Allowance communication to Technology Center (TC)				
Fee /	Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
X Amendmer	nt/Reply (7 pages)	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After	Final	Petition to Convert to a Provisional Application	Proprietary Information				
Affida	avits/declaration(s)	X Power of Attorney, Revocation Change of Соптевропиенсе Address (1 раде)	Status Letter				
X Extension	of Time Request (1 page)	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):				
Express At	pandonment Request	Request for Refund	Statement Under 37 CFR 3.73(b)     w/copy of Assignment (2 pages total)				
Information	Disclosure Statement	CD, Number of CD(s)	2. Declaration (2 pages)     3. Notice to File Missing Parts – Part 2     (2 pages)				
Certified Condition Document(	opy of Priority s)		4. Statement to Support Filing (2 pages) 5. Sequence Listing (2 pages) 6. 1 Disk in Computer Readable Format 7. Return receipt postcard				
X Response Incomplete	to Missing Parts/ Application	Remarks					
	X Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNAT	URE OF APPLICANT, ATTORNEY, OF	RAGENT				
Firm or Individual name	MORRISON & FOE Jie Zhou - 52,395	RSTER LLP (Custome	er No. 25226)				
Signature	Signature 511 3						
Date	August 25, 2004						
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV336622711US.							

in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 25, 2004

(Tia B. Zimmerman)

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 120.00

espond to a collection of information unless it displays a valid Oivib control number.							
Complete if Known							
Application Number 10/814,555							
Filing Date	March 30, 2004						
First Named Inventor	Matthew D. LINNIK						
Examiner Name	Not Yet Assigned						
Art Unit	1645						
Attomey Docket No.	252312008000						

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to the above	ve-id	entified	d depo	osit account.			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
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1. BASI	C FI	LING	FEE				1252	420	2252	210	Extension for reply within second month	
Large Enti	ity	Small	Entity	,			1253	950	2253	475	Extension for reply within third month	
Fee Fe Code (\$		Fee Code	Fee (\$)	Fee Descr	iption	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 77		2001	385	Utility filing	fee		1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 34	0	2002	170	Design filing	j fee		1401	330	2401	165	Notice of Appeal	
1003 53	ю	2003	265	Plant filing f	ee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 77	ю	2004	385	Reissue filir	ıg fee		1403	290	2403	145	Request for oral hearing	
1005 16	ю	2005	80	Provisional	filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		,	CIID	TOTAL (1)	(\$)	0.00	1452	110	2452	55	Petition to revive – unavoidable	
			306	IOIAL (I)	(Ψ)	0.00	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTR	A C	LAIM	FEE	S FOR UTILI	TY AND	REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
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Total Claim	ıs [	32	-32**		9 =	0.00	1503	640	2503	320	Plant issue fee	
Independen	╅╞	2	-3**	-   ×   -	43 =	0.00	1460	130	1460	130	Petitions to the Commissioner	
Claims Multiple De	ــا pendء		•		145 =	0.00	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Enti	1	Small E	Entity				1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fe	e	Fee Code	Fee (\$)	-	Descriptio	<u>n</u>	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18		2202	(*) 9	Claims in excess	of 20		4000	770	0000	205	Filing a submission after final rejection	
1201 86		2201	43	Independent clai		ess of 3	1809	770	2809	385	(37 CFR 1.129(a))	
1203 29	0 2	2203	145	Multiple depende			1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1204 86		2204	43	** Reissue indep	-	•	1801	770	2801	385	Request for Continued Examination (RCE)	
l			_	over original p			1802	900	1802	900	Request for expedited examination	
1205 18	B   2	2205	9	** Reissue claim and over origin		s of 20			J		of a design application	<b>  </b>
				Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 120.00								
*******************	**or number previously paid if greater: For Reissues, see above				"Redu	iced by I	Basic Fi	ling Fee	Paid SUBTOTAL (3) (\$)	120.00		

SUBMITTED BY (Complete (if applicable))								
Name (Print/Type)	Jie Zhou	Registration No. (Attorney/Agent)	52,395					
Signature	50 3			Date	August 25, 2004			